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Abstract: Ventilator-associated pneumonia (VAP) is the most common hospital-acquired infection among mechanically ventilated patients. Our objectives were to determine the incidence of VAP, isolate multidrug-resistant bacteria, identify the most prevalent resistant strains and identify their antibiotic susceptibility pattern. The VAP rate was calculated. The isolated microbes were identified and tested for antibiotic susceptibilities. The minimum inhibitory concentrations were determined of imipenem, meropenem and ertapenem for

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ABSTRACT Ventilator-associated pneumonia (VAP) is the most common hospital-acquired infection among mechanically ventilated patients. Our objectives were to determine the incidence of VAP, isolate multidrug-resistant bacteria, identify the most prevalent resistant strains and identify their antibiotic susceptibility pattern. The VAP rate was calculated. The isolated microbes were identified and tested for antibiotic susceptibilities. The minimum inhibitory concentrations were determined of imipenem, meropenem and ertapenem for

Klebsiella isolates. Klebsiella isolates resistant to carbapenems were tested for the presence of the blaKPC gene. The VAP incidence density rate was 48.8 incidences/1 000 ventilator days. The most common Gram-positive organism was Staphylococcus aureus, of which 86.6% of isolates were resistant to ceftazidime, but 100% were sensitive to teicoplanin, linezolid and tigecycline. The most common Gram-negative bacillus was Klebsiella, of which 94.6% of isolates were resistant to cefotaxime, 70.2% to imipenem, and 64.9% to ertapenem, but 100% were sensitive to colistin and 94.6% were sensitive to tigecycline. Of the carbapenem-resistant Klebsiella strains, 23.1% had the blaKPC gene. The high rates of VAP and the high rates of resistance among isolated organisms point to improper implementation of infection control programmes.

Bactéries multirésistantes parmi les patients atteints de pneumonie associée à la ventilation dans une unité de soins intensifs d'urgence, Égypte

RÉSUMÉ La pneumonie sous ventilation assistée (PVA) est la forme la plus courante d'infections nosocomiales

contractées par les patients sous ventilation artificielle. L'objectif de la présente étude consistait à déterminer l'incidence de la PVA, à isoler les bactéries multirésistantes, et à identifier les souches résistantes les plus prévalentes ainsi que leur profil de sensibilité aux antibiotiques. Le taux de PVA a été calculé. Les microbes isolés ont été identifiés et leur sensibilité aux antibiotiques a été testée. Les concentrations minimales inhibitrices ont été déterminées pour l'imipénème, le méropénème et l'ertapénème pour les isolats de Klebsiella. Les isolats de Klebsiella résistants aux carbapénèmes ont été testés afin de déterminer la présence du gène blaKPC. Le taux de PVA était de 48,8 cas/1000 jours de ventilation. L'organisme à Gram positif le plus courant était Staphylococcus aureus, dont 86,6 % des isolats étaient résistants à la céfotaxime, mais 100 % étaient sensibles à la téicoplanine, au linézolide et à la tigécycline. Le bacille à Gram négatif le plus courant était Klebsiella, dont 94,6 % des isolats étaient résistants à la céfotaxime, 70,2 % à l'imipénème, et 64,9 % à l'ertapénème, mais 100 % étaient sensibles à la colistine et 94,6 % à la tigécycline. Parmi les souches de Klebsiella résistantes aux carbapénèmes, 23,1 % contenaient le gène blaKPC. Les taux élevés de PVA et de résistance parmi les organismes isolés indiquent une mise en œuvre inadéquate des programmes de lutte contre les infections.

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Received: 23/02/16; accepted: 02/10/16

Introduction

Ventilator-associated pneumonia (VAP) has been reported to be the most serious healthcare-associated infection in intensive care units (ICUs) (1). VAP is defined as pneumonia developing more than 48 hours after endotracheal intubation and initiation of mechanical ventilation. It also includes pneumonia developing after extubation (2). Early-onset VAP is usually less severe, is associated with better prognosis and is likely to be caused by antibiotic-sensitive bacteria. Late-onset VAP is caused by multidrug-resistant (MDR) pathogens and is associated with increased morbidity and mortality. The types of MDR strains that cause VAP vary from one hospital to another, by patient population and by comorbid condition (2).

Enterobacteriaceae producing *Klebsiella pneumoniae* carbapenemase (KPC) are rapidly disseminating in several countries and geographical areas. This spread of KPC enzymes makes the organisms a potential threat to current antibiotic-based treatment protocols (3). Deficient infection-control procedures and improper antibiotic administration are the main causes for the emergence of MDR strains. Thus, the implementation of an antibiotic stewardship programme has become a vital necessity (4).

This study was conducted as part of a comprehensive educational programme and antibiotic stewardship programme in an ICU to determine the incidence of VAP, to isolate MDR organisms from VAP patients, and to identify the most prevalent resistant strains, as well as their patterns of antibiotic susceptibility.

Methods

A prospective surveillance study was conducted in the Infection Control Laboratory of the Microbiology Department at the Faculty of Medicine, Zagazig University, Egypt. It was carried out during 12 months (March 2014 to February 2015).

Participants

Enrolled cases were selected from patients admitted to the emergency ICU. Patients were included if they were mechanically ventilated for more than 48 hours. Patients were excluded if there was evidence of chest infection prior to intubation, if they were intubated patients who had

been admitted from another hospital, or if they were immunocompromised.

Using Epi Info 6 (US Centers for Disease Control and Prevention, Atlanta, GA, USA), the sample size was calculated assuming a statistical power of 80%, 95% confidence intervals, the attendance rate of mechanically ventilated patients at the investigated unit was 500, and a prevalence of VAP of 57.14% (5). We investigated 83 cases owing to the assumed 20% non-response rate. Patients were selected by systematic random sampling; every sixth admitted patient fulfilling the inclusion criteria was enrolled.

VAP was suspected using clinical or radiological criteria, or a combination of these, and confirmed by microbiological examination of endotracheal aspirate (6). The study was approved by the Institutional Review Board of the hospital, and informed written consent was obtained from enrolled patients or their relatives.

Setting

The setting was a 15-bed emergency ICU; it is the only emergency ICU in the Zagazig University Hospital. It serves trauma patients and surgical emergency patients. The ICU is managed by qualified critical care doctors, 24 hours a day and 7 days a week, with a nurse to patient ratio of 1:2 during both the day and night shifts, and 1 clinical pharmacist. The ICU has an active infection prevention and control programme, managed by one infection control specialist, four infection control nurses and one infection control link nurse.

Antibiotics are initially prescribed empirically and then de-escalation takes place according to results from culture and sensitivity testing (7). Before the study, the most frequently administered antibiotics were glycopeptide antibiotics, third-generation cephalosporins and carbapenems.

Microbiological tests

Once VAP was clinically suspected, specimen collection was ordered by the critical care doctor on duty. The specimen was then sent to the infection control laboratory for microbiological confirmation. Endotracheal aspirate was collected using aseptic technique (8). Gram-stained smears were examined microscopically. A neutrophil count of > 25 pus cells/low-power field and > 1 bacterium per oil-immersion field were considered as diagnostic for the presence of infection (2).

Endotracheal aspirate was mechanically liquefied and homogenized by vortexing for 1 minute with sterile glass beads, followed by centrifugation at 3 000 rpm for 10 minutes (9). Each sample was cultured on blood agar, MacConkey agar and chocolate agar, then incubated at 37°C for 48 hours at 10% CO₂. Semiquantitative culture analysis was done according to the methods of Joseph et al. (10), using the four-quadrant technique and a calibrated 10 µL loop. Based on the number of colonies in each quadrant, grades of 3+ and 4+ were considered as diagnostic growth thresholds and represented a colony count > 10⁶ colony forming units (or CFUs). The isolated bacteria were identified using standard microbiological techniques (11).

Calculating VAP rates

The rates of VAP were calculated as follows.

The incidence was calculated as the total number of cases of VAP among the population studied.

The incidence density rate was the number of cases with VAP/ the number of ventilator days) x 1000, which gave the VAP rate per 1 000 ventilator days.

Testing for antibiotic susceptibility

Isolates were tested for antimicrobial susceptibility by the modified Kirby–Bauer disc diffusion method (12). Multidrug resistance was defined as bacteria that were not susceptible to at least one agent in three or more antimicrobial categories (13). *Staphylococcus aureus* ATCC 25923, *Escherichia coli* ATCC 25922 and *Pseudomonas aeruginosa* ATCC 27853 were used as quality control strains (American Type Culture Collection Global Bioresource Center, Manassas, VA, USA). Screening for carbapenemase production was done using the disc diffusion method and by determining the minimum inhibitory concentrations (MICs) for carbapenem. Confirmation was done using the modified Hodge test (12). The MICs of carbapenems for all *Klebsiella* isolates were determined using the tube dilution method (12). The antibiotics tested were imipenem, meropenem and ertapenem (El Nasr Co., Cairo, Egypt). *Escherichia coli* ATCC 25922 was used as the quality control strain.

For the modified Hodge test, the surface of a Mueller–Hinton agar plate was inoculated with a culture suspension of *E. coli* ATCC 25922. A disc of meropenem was placed in the centre of the plate. Three to five colonies of test organisms and quality control organisms were inoculated in a straight line from the edge of the disc to the edge of the plate. The streak was at least 20 mm to 25 mm in length. After overnight incubation, the plates were examined for enhanced growth

around the streaks of the test organism and the quality control organism at the intersection of the streak and the zone of inhibition. The presence of enhanced growth indicated carbapenemase production and the absence of enhanced growth meant there was no carbapenemase production. *K. pneumoniae* ATCC BAA-1705 was used as the positive control for the test (12).

Detecting the blaKPC gene in Klebsiella isolates

DNA was extracted from isolated colonies using the QIAamp® DNA Mini Kit (Qiagen, Hilden, Germany). Polymerase chain reaction (PCR) was performed to detect blaKPC genes (blaKPC-1 through blaKPC-7) in *Klebsiella* isolates. PCR-GOLD Master Mix beads (Bioron Life Science, Ludwigshafen, Germany) were used for amplification. *E. coli* ATCC 25922 was used as a negative control and blaKPC-carrying *K. pneumoniae* ATCC BAA-1705 was used as a positive control. The amplification was done as described elsewhere (14).

Results

A total of 83 mechanically ventilated patients were included in the study. Only 55 (66.3%) patients fulfilled the diagnostic criteria for VAP (Table 1). All included cases with VAP presented with fever, leukocytosis, rales or bronchial breath sounds and recent-onset purulent sputum with an increase in respiratory secretions that required suctioning. The incidence of VAP was $55/83 \times 100 = 66.3\%$. The incidence density rate was $55/1\ 125 \times 1000 = 48.8/1000$ ventilator days.

Of the 55 patients diagnosed as having VAP, 31 patients (56.4%) had polymicrobial infection (all of them were polytrauma patients) and the remaining 24 patients (43.6%) had monomicrobial infection. Thus, the total number of isolates was 86 (Table 2). Five bacteria were isolated from five patients with early-onset VAP : two were *Streptococcus pneumoniae*, two were coagulase-negative staphylococci and one was *Staphylococcus aureus*. A total of 81 isolates were obtained from 50 patients with late-onset VAP.

The results of antibiotic susceptibility testing (Tables 3 and 4) were reported to the ICU team so the patients' treatment could be monitored. The data were included in the ICU database to inform the local antibiogram, which is an important adjunct for implementing the antibiotic stewardship programme. An infection control consultant experienced in clinical microbiology and infection prevention and control strategies assessed the patients' outcomes. The mortality rate among the VAP patients was 36.4% (20/55). The remaining 63.6% (35/55) of patients were transferred to inpatient wards or the high dependency unit; in 10 patients, the infection was

cured.

The MICs of carbapenems for *Klebsiella* isolates (37 isolates) are shown in Table 5. PCR identified the blaKPC gene in 6/26 (23.1%) imipenem-resistant *Klebsiella* isolates. All isolates that were positive by PCR were resistant to carbapenem, when tested by both the disc diffusion and MIC methods.

Discussion

VAP is a form of hospital-acquired pneumonia that has a high mortality rate. The overall incidence of VAP in ICUs ranged from 10% to 70% during 2013 (2). The incidence of VAP in our ICU was 66.3% and the rate of VAP was 48.8 /1000 ventilator days. This was lower than the previous rates recorded from a respiratory ICU at Ain Shams University Hospital in Egypt; there, the VAP rate was 70.25 /1000 ventilator days, with a higher incidence of late-onset VAP (49.45 /1000 ventilator days) than early-onset VAP (20.82 /1000 ventilator days) (15). However, the recorded VAP rate in the current study is higher than a previous study performed in Egypt at the Nasser Institute's ICU (16); there, the VAP rate was 20.77 /1000 ventilator days, with a higher incidence of early-onset VAP than late-onset VAP. Moreover, the VAP rate in our study was higher than that reported from an ICU in Saudi Arabia where it was 15.9 /1000 ventilator days (17), and it is also higher than the rate reported from 7 Indian ICUs, where it was 10.46 /1000 ventilator days (18).

A study conducted in 55 ICUs in 46 hospitals in 8 developing countries (Argentina, Brazil, Colombia, India, Mexico, Peru, Morocco and Turkey) found the overall VAP rate of 24.1 /1 000 ventilator days (19); however, this was lower than the rates recorded in the present study. In developed countries, the median number of cases ranged from 1.3 to 2.0/1000 ventilator days in hospitals participating in the National Healthcare Safety Network (NHSN) system (20).

The lower rates recorded in developed countries could be due to strict implementation of infection control measures and continual annual surveillance at all hospitals that is aimed at decreasing infection rates, as well as to the better availability of resources and the increased awareness among all healthcare workers of measures to prevent and control infection (16). Thus, the high incidence of VAP and MDR in this study could be attributed to a lack of good infection control practices and the non-rational use of antibiotics; this highlights the importance of strictly following the protocols of antibiotic stewardship programmes.

The current study showed that the mean durations of mechanical ventilation and hospital stay,

and mortality rates were higher in patients with VAP than in mechanically ventilated patients who did not develop pneumonia. These differences may be due to inappropriate treatment, bacteraemia associated with a virulent organism or the presence of an underlying medical condition. These findings confirm the importance of diagnosing VAP early and initiating appropriate antibiotic treatment as vital tools for preventing adverse outcomes.

The pathogens responsible for VAP vary according to the duration of mechanical ventilation, a patient's prior antibiotic exposure and the length of hospital stay. In this study, Gram-negative bacilli were found to be the most prevalent pathogens associated with VAP (63/86 [73.3%] of isolates); *Klebsiella* was associated with 43% (37/86); *P. aeruginosa* with 15.1% (13/86); *Acinetobacter baumannii* with 9.3% (8/86); and *E. coli* with 4.7% (4/86). Some studies have found that *A. baumannii* is the most common organism causing VAP (2), but others found *P. aeruginosa* to be the most common organism causing VAP (4,21).

Klebsiella has also been recognized as an important cause of infections, and various environmental reservoirs have been identified. Irrespective of the primary source, it seems that the most significant reservoir for the microorganism is the digestive tract of colonized patients, and that transmission occurs mostly via the hands of nursing staff (22). Low rates of compliance with hand hygiene practices have been recorded among healthcare workers in the ICU investigated in this study (RH El-Sokkary, R Elsaid Tash, unpublished data, 2014). This could explain the high prevalence of *Klebsiella* revealed in the current study.

Out of 86 culture-positive samples, 71 isolates (82.6%) were found to be MDR. Among Gram-positive isolates, 15/23 (65.2%) were found to be MDR; for Gram-negative isolates, 56/63 (88.8%) were found to be MDR. Similar results were reported in a tertiary care hospital in Nepal where 66.7% of bacteria isolated in postoperative wound infections was MDR: 83.33% of Gram-negative bacteria and 47.5% of Gram-positive isolates were MDR (23).

The antibiotic susceptibility patterns of organisms isolated in this study are being used to provide guidelines for empirically prescribing antibiotics in the ICU studied. Penicillin is not recommended. For *Staphylococcus aureus*, ceftazidime, erythromycin and ciprofloxacin are no longer recommended as first-line therapy due to the high incidence of resistance. Vancomycin, teicoplanin, linezolid and tigecycline were most effective against Gram-positive cocci causing VAP, so they should be saved for life-threatening infections.

Antibiotic resistance is high among Gram-negative bacilli; most of the tested antibiotics are not

recommended for use. This highlights the urgent need for a local antibiogram to guide the prescription of antibiotics. Tigecycline and colistin have been found to be the most effective agents against Gram-negative isolates, so they should be reserved for life-threatening infections (24), although a risk assessment of the patient's general condition is highly recommended for colistin.

The high rates of antibiotic resistance reported for *Klebsiella* isolates may be explained by the rapid transmission of determinants of antibiotic resistance between different species of enteric Gram-negative bacilli, a condition enhanced by the lack of adherence to infection control standards (25). The extensive use of β -lactam antibiotics, including third-generation cephalosporins, for treating infections is another factor that helps increase the prevalence of resistant isolates.

The high rates of resistance reported in this study are similar to those previously reported for device-associated infection at Cairo University Hospital, with 70% of tested *E. coli* and *K. pneumoniae* isolates found to produce extended-spectrum β -lactamases (26). In contrast, in the United States, only 20% of the *E. coli* and *K. pneumoniae* isolates reported to the NHSN have extended-spectrum cephalosporin resistance. Resistance rates for other organisms are also substantially higher in Egypt. For instance, 100% of *Acinetobacter* spp. isolates from hospital-acquired infections in Egypt are MDR versus approximately 70% of isolates in the NHSN; 93% of *Staphylococcus aureus* isolates tested in Egypt were methicillin resistant compared with 50% in the NHSN (27).

In the current study, the most common organisms isolated from VAP cases were Gram-negative bacilli. Similar results have been reported from an Egyptian study (16) and a study conducted in Saudi Arabia (17). In a study performed in ICUs in different hospitals in an urban town in India (2), the antibiogram of the isolated Gram-negative bacilli showed *A. baumannii* (46.22% of isolates) and *P. aeruginosa* (18.68%) to be MDR. All (100%) *A. baumannii* isolates were resistant to ampicillin; and 88.6% were resistant to cefotaxime, 78% to ceftazidime, 48% to amikacin, 42.4% to imipenem, and 42.4% to meropenem. *P. aeruginosa* isolates also had a 100% resistance rate to ampicillin, 47.2% resistance to cefotaxime, 47.2% resistance to ceftazidime and 18.6% to imipenem and meropenem. *Staphylococcus aureus* was the most common Gram-positive isolate, and 11.44% of isolates were resistant to cefoxitin.

In this study, among the *Klebsiella* isolates, 26/37 (70.2%) were resistant to imipenem by the disc diffusion method, 24/37 (64.9%) were resistant to meropenem and 24/37 (64.9%) were resistant to ertapenem. This is much higher than the rates reported by Marschall et al. (28), who found only 2.9% of isolates were resistant to one or more carbapenems at Barnes–Jewish

Hospital in St. Louis, MO, United States. However, surveillance cultures from hospitals in the New York City area reported rates of carbapenem resistance among *Klebsiella* isolates ranging up to 24% (29). This high percentage of resistant strains may be explained by the observations of Tumbarello et al. (30) who found that a history of chronic disease; prolonged hospitalization; undergoing invasive procedures, mechanical ventilation, or urinary catheterization; as well as previous treatment with antimicrobials were all associated with carbapenemase production.

Freitas et al. (31) reported that the use of carbapenems, and mainly imipenem, has been implicated as one of the major risk factors for the induction of carbapenemase-resistance genes. This coincides with the current study, in which some patients infected with imipenem-resistant *Klebsiella* had a history of taking β -lactam antibiotics: carbapenems are a member of this class of antibiotics.

In this study, blaKPC genes were present in 23.1% of carbapenem-resistant *Klebsiella* isolates. Comparable results have been reported by Helal et al. (32); they used real-time PCR to detect blaKPC among Enterobacteriaceae in Cairo University Hospital and found that 22% of carbapenem-resistant *Klebsiella* strains harboured blaKPC genes. In Germany, Kaase et al. (33) observed that 35.3% of carbapenem-resistant *Klebsiella* isolates produced KPC. There is evidence that carbapenem resistance in Enterobacteriaceae is an increasing problem and may dangerously limit treatment options (33). In the current study, the blaKPC gene was not detected in (76.9%) of resistant isolates. This may be due to the presence of a carbapenemase other than KPC carbapenemase or to a resistance mechanism other than carbapenemase production (34).

Limitations of the study

The primary limitation is that no other carbapenemase discs (other than imipenem, meropenem and ertapenem) were used for screening for carbapenemase production due to the unavailability of the antibiotic discs. The second limitation is that a history of taking antimicrobials during the preceding 3 months should have been an exclusion criterion, yet we could not apply this due to the lack of medical records. Data reported by patients about their use of antibiotics could be inaccurate.

Conclusions

Although the recorded rates of VAP in this study are lower than those found in some previous studies, VAP is still a challenge in the ICU. The high prevalence of Gram-negative bacilli and the increased rates of carbapenem resistance among *Klebsiella* isolates highlight the urgent need for the proper implementation of antibiotic stewardship programmes.

Recommendation

Strict implementation of VAP-prevention strategies are needed with continuous monitoring of the spread of antibiotic-resistant strains.

Funding: None.

Competing interests: None declared.

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