

**United Nations Children's Fund (UNICEF)  
World Health Organization (WHO)  
United Nations Office for Project Services (UNOPS)**

**(For the Benefit of the Republic of Yemen)**

**YEMEN EMERGENCY HUMAN CAPITAL PROJECT  
Parent Project (P176570)  
Additional Financing (YEHCP-AF1) (P178655) and  
Second Additional Financing (EHCP-AF2) (P181317)**

**ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP)**

**First Update September 2021  
Update for First Additional Financing June 10, 2022  
Update for Second Additional Financing July 2023**

## ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The United Nations Children's Fund, the World Health Organization, and the United Nations Office for Project Services (hereinafter UNICEF, WHO, and UNOPS or the **Recipients**) shall jointly implement the **Yemen Emergency Human Capital Project- Second Additional Financing (AF2) (YEHCP-AF2; P181317)** (the **Project**) with the involvement of selected implementing partners, i.e., local agencies that the Recipients may engage to facilitate implementation of the Project as set out in the Financing Agreements. The Yemen Emergency Human Capital Project (P176570) will be indicated hereinafter as the original financing and Yemen Emergency Human Capital First Additional Financing (P178665) as the AF1 and the Second Additional Financing (P181317) as the AF2. The International Development Association (hereinafter the **Association**) has agreed to provide financing for the Project as set out in the referred agreements.
2. UNICEF, WHO, and UNOPS (the Recipients) shall ensure that the EHCP Project (Original, AF1 and AF2) is carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the Association. The ESCP is a part of the Financing Agreement. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the referred agreement.
3. Without limitation to the foregoing, this ESCP sets out material measures and actions that UNICEF, WHO, and UNOPS shall carry out or cause to be carried out, including, as applicable, the timeframes of the actions and measures, institutional, staffing, training, monitoring and reporting arrangements, and grievance management. The ESCP also sets out the environmental and social (E&S) instruments that shall be adopted and implemented under the Project, all of which shall be subject to prior consultation and disclosure, consistent with the ESS, and in form and substance, and in a manner acceptable to the Association. Once adopted, said E&S instruments may be revised from time to time with prior written agreement by the Association.
4. As agreed by the Association and Recipients (UNICEF, WHO, and UNOPS), this **ESCP** may be revised from time to time during project implementation, to reflect adaptive management of project changes and unforeseen circumstances or in response to Project performance. In such circumstances, the Recipients (UNICEF, WHO, and UNOPS) shall agree to the changes with the Association and shall agree to update the **ESCP** to reflect these changes. Agreement on changes to the **ESCP** shall be documented through the exchange of letters signed between the Association and the Recipients. The Recipients shall promptly disclose the updated **ESCP**.

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
<b>MONITORING AND REPORTING</b>		
<p>A <b>REGULAR REPORTING</b></p> <p>Prepare and submit to the Association regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to the implementation of the ESCP, the status of preparation and implementation of E&amp;S documents required under the ESCP, stakeholder engagement activities, functioning of the grievance mechanism(s).</p>	<p>Every 6 months as part of the Project’s technical progress report starting from the Effective Date and to be maintained throughout the Project implementation.</p>	<p>UNICEF, WHO, UNOPS</p>
<p>B <b>INCIDENTS AND ACCIDENTS</b></p> <p>The Recipients (UNICEF, WHO, and UNOPS) shall promptly notify the Association of any incident or accident related to the Project, which has or is likely to have a significant adverse effect on the environment, the affected communities, the public or workers including without limitation, explosions, spills, and any workplace accidents that result in death or serious injuries, any violent and exceptional labor incident or dispute involving the Recipients or security forces in the Project area, and local communities or any gender-based violence (GBV), sexual exploitation and abuse and sexual harassment (SEA/SH) suffered by beneficiaries receiving support under Respective Parts of the Project or Project workers.</p> <p>Provide sufficient details regarding the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and supervising entity, as appropriate. Subsequently, as per the Association’s request, prepare a report on the incident or accident and propose any measures to prevent its recurrence to the extent that the cause of Significant Event is within the scope of the Recipient’s control.</p>	<p>The Recipients (UNICEF, WHO, and UNOPS) shall notify the Association within 48 hours after learning of the incident or accident, and provide an initial report within 10 days of that notification indicating possible root causes and proposing possible corrective actions, as requested by the Association.</p> <p>Throughout Project Implementation as per the timeline indicated by the Association.</p>	<p>UNICEF, WHO, UNOPS</p>

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C	<p><b>CONTRACTORS REGULAR REPORTS</b></p> <p>Contractors shall be required to provide regular monitoring reports on the environmental, social, health and safety (ESHS) performance including but not limited to the implementation of ESMPs and measures related to Labor and Occupational Health and Safety, and GM implementation to the Recipients. Such reports shall be submitted to the Association upon request.</p>	Submit regular report to the Association during the project implementation period upon request.	UNICEF, WHO, UNOPS
<b>ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS</b>			
1.1	<p><b>ORGANIZATIONAL STRUCTURE</b></p> <p>The Recipients (UNICEF, WHO, UNOPS) shall continue to maintain their own respective management structures to implement the Project (Project Management Units or PMUs) with sufficient and qualified staff and adequate resources to support management of ESHS risks and impacts of the project including environmental, OHS, gender/social, all with Job Descriptions that outline the qualifications and experience acceptable to the Association. Local implementing partners shall also use site engineers/consultants as needed to perform the monitoring and reporting functions.</p>	For UNICEF, WHO, and UNOPS, adequate E&S staff shall be maintained in place prior to the start of the relevant activities and throughout Project implementation to cover Environmental, Occupational Health, and safety (OHS) aspects as well as social and gender aspects, to be fully engaged and support the management of ESHS risks and impacts. The Recipients may combine their efforts and share human resources where required to enhance efficiency.	UNICEF, WHO, UNOPS
1.2	<p><b>ENVIRONMENTAL AND SOCIAL ASSESSMENT</b></p> <p>Each Recipient shall update, disclose, consult upon, adopt, and implement an Environmental and Social Management Framework (ESMF), for their respective part of the project, to be consistent with the ESF including the requirements of ESS1 and all relevant ESSs. The ESMFs will be implemented in a manner acceptable to the Association.</p> <p>UNICEF and WHO will each update, disclose, consult upon, adopt, and implement a standalone Medical Waste Management Plan (MWMP) consistent with the ESF and the requirements of relevant ESSs.</p>	The ESMFs of original financing and Additional financing 1 have been prepared and disclosed. ESMFs shall be updated for the AF 2, disclosed, consulted upon, adopted, and implemented throughout Project implementation. Updated ESMFs shall be applied to both the original financing as well as the AF1 and AF2. The MWMPs of the parent project have been prepared and disclosed. The existing MWMPs shall be updated for the AF2, disclosed, consulted upon, adopted, and implemented throughout Project implementation. The updated MWMPs shall be applied to both the original project as well as AF1 and AF2.	UNICEF, WHO, UNOPS  UNICEF and WHO

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1.3	<p><b>MANAGEMENT TOOLS AND INSTRUMENTS</b></p> <p>Screen any proposed sub-project in accordance with the respective Environmental and Social Management Framework (ESMF) prepared for the Project, and, thereafter, draft, consult upon, finalize with incorporation of relevant feedback, adopt, and implement the specific ESMPs as required, in a manner acceptable to the Association.</p>	<p>Each ESMP shall be prepared, consulted upon, and adopted before the carrying out of the relevant sub-Project activities, and thereafter implemented throughout Project implementation.</p>	<p>UNICEF, WHO, UNOPS</p>
1.4	<p><b>MANAGEMENT OF CONTRACTORS</b></p> <p>Ensure that the relevant aspects of this ESCP, including, inter-alia, ESMP and Labor Management Procedures requirements are included into the ESHS specifications of the procurement documents with all contractors and sub-contractors, including those that will be signed under the credit line for all sub-projects by contractors selected for the specific sub-projects.</p> <p>Ensure that contractors and sub-contractors comply with the ESHS specifications of their respective contracts and report on them in line with the requirements of this ESCP.</p>	<p>The relevant ESHS measures shall be incorporated into the procurement documents before launching the procurement process for the relevant Project activities and shall thereafter comply with throughout the carrying out of such activities.</p>	<p>UNICEF, WHO, UNOPS</p>
1.5	<p><b>CONTINGENT EMERGENCY RESPONSE COMPONENT (CERC)</b></p> <p>(a) Ensure that the CERC Manual includes a description of the ESHS assessment and management arrangements for the implementation of the CERC component in accordance with the ESSs.</p> <p>(b) Prepare, disclose, consult upon, and adopt any E&amp;S management plans or instruments which may be required for activities under the CERC component of the Project, in accordance with the CERC Manual and the ESSs, and thereafter implement the measures and actions required under said E&amp;S management plans or instruments, within the timeframes specified in said E&amp;S management plans or instruments.</p>	<p>(a) The adoption of the CERC Manual and, if applicable, other documents as relevant in form and substance acceptable to the Association is a withdrawal condition under Section IV.B (c) of Schedule 2 of the Financing Agreements.</p> <p>(b) The E&amp;S management plans or instruments shall be prepared, disclosed, consulted upon, and thereafter adopted before carrying out of the relevant Project activities under the CERC component. The E&amp;S management plans or instruments shall be implemented in accordance with their terms, throughout Project implementation.</p>	<p>UNICEF, WHO, UNOPS</p>

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1.6	<p><b>THIRD PARTY MONITORING:</b></p> <p>Third Party Monitoring Agent(s) shall continue being engaged on the terms of reference satisfactory to the Association, to provide independent review of project implementation and verification of project results and adherence to all Environmental &amp; Social requirements of the Project Operations Manual (POM), the ESCP and all ESHS instruments, including through, inter alia: periodic site visits, assessment of local context and conditions, interviews, awareness raising, training and preparation of reports.</p> <p>Each monitoring report prepared by the Third-Party Monitoring Agent(s) shall cover a period of three (3) months.</p>	<p>Third-Party Monitoring Agent(s) are maintained throughout its Project implementation.</p> <p>Throughout the Project implementation.</p>	<p>UNICEF, WHO, UNOPS</p>
<b>ESS 2: LABOR AND WORKING CONDITIONS</b>			
2.1.	<p><b>LABOR MANAGEMENT PROCEDURES</b></p> <p>Each Recipient shall prepare and implement a standalone project labor management procedure (LMP) for all Project workers, aligned with the requirements of ESS2 and in a manner acceptable to the Association.</p> <p>The Project shall be carried out in accordance with the applicable requirements of ESS2, in a manner acceptable to the Association, including through, among others, implementing adequate occupational health and safety measures (including emergency preparedness and response measures), prohibiting forced labor, and employment of children under the age of 18 on any aspect of the Project, setting out grievance arrangements for Project workers, and incorporating labor requirements into the ESHS specifications of the procurement documents and contracts with contractors.</p> <p>Include in the LMPs and implement the requirement that relevant Project workers, as defined in paragraph 3 of ESS 2, sign a code of conduct (CoC) to uphold ethical standards and relevant E&amp;S obligations and national legislation, prior to carrying out activities under the Project. Include procedures for operating the sub-projects sites and conducting the activities in accordance with Communicable Diseases Infection Prevention and Control Recommendations.</p>	<p>The LMPs of the original financing and AF1 have been prepared and disclosed. These LMPs shall be updated for the AF2, disclosed and adopted prior to engaging Project Workers and implemented thereafter throughout Project implementation. Updated LMPs shall be applied to the parent project, AF1 as well as the AF2.</p> <p>Throughout Project implementation.</p>	<p>UNICEF, WHO and UNOPS</p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
2.2	<p><b>GRIEVANCE MECHANISM FOR PROJECT WORKERS</b></p> <p>A Grievance Mechanism (GM) shall be accessible for Project workers as part of the project LMPs. The grievance mechanisms will be maintained and remain available and functional to receive and facilitate resolution of concerns and grievances in relation to the Project. As per ESS10.2, the same actions shall be applied, and the communication details shall be distributed for workers at the sub-project sites.</p>	GM for each Recipient shall be operational prior to engaging Project workers. GM shall be maintained throughout the Project implementation.	UNICEF, WHO, UNOPS
2.3	<p><b>OCCUPATIONAL HEALTH AND SAFETY (OHS) MEASURES</b></p> <p>Include in the ESMPs and implement occupational health and safety (OHS) measures as per applicable Yemen national laws, ESS2, and World Bank Group’s Environmental Health and Safety Guidelines (EHSs) as specified in ESMFs, see 1.2 above.</p>	Throughout the project implementation.	UNICEF, WHO, UNOPS
<b>ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</b>			
3.1	<p><b>WASTE MANAGEMENT PLAN:</b></p> <p>General waste management procedures shall be prepared as per the requirements of ESS3 and shall be included in the ESMFs and site specific ESMPs and implemented thereafter, all in a manner acceptable to the Association.</p>	The existing waste management procedure shall be updated for the AF2, disclosed and adopted. The latter should be implemented throughout the project implementation.	UNICEF, WHO, UNOPS
3.2	<p><b>RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT:</b></p> <p>Resource efficiency and pollution prevention and management measures will be covered under the ESMFs to be prepared under action 1.2 and 1.3 above, including, inter alia, measures to manage hazardous and non-hazardous wastes and to use resources (water, air, etc.) in accordance with the updated Medical Waste Management Plan (MWMP), implementing agencies’ rules and regulations and consistent with ESS3, relevant World Bank Group’s Environmental, Health and Safety Guidelines (WBG EHS Guidelines), and relevant sources of Good International Industry Practices (as defined in ESS3) .</p>	The ESMFs of the original financing and AF1 were prepared and disclosed. ESMFs shall be updated for the AF2, disclosed, consulted upon and adopted and implemented throughout the Project implementation. Updated ESMFs shall be applied to the original financing, AF1 as well as the AF2.	UNICEF, WHO, UNOPS
<b>ESS 4: COMMUNITY HEALTH AND SAFETY</b>			
4.1	<p><b>TRAFFIC AND ROAD SAFETY:</b></p> <p>Include and implement measures and actions to assess and manage traffic and road safety risks as required in the ESMPs to be developed under action 1.2 above.</p>	Throughout the project implementation.	UNICEF, WHO, UNOPS

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
4.2	<p><b>COMMUNITY HEALTH AND SAFETY:</b>                      Include in the updated ESMFs and E&amp;S instruments (for example site specific ESMPs) measures to minimize the potential for community and workers exposure to hazardous materials, activities that present risk of injuries; minimize the potential for community exposure to communicable diseases; endeavor to ensure that individuals or groups who, because of their particular circumstances, may be disadvantaged or vulnerable (including physical and non-physical accessibility for persons with disabilities and other vulnerable groups), have access to the development benefits resulting from the Project; manage the risks of the use of security personnel; manage the risks of labor influx.</p>	<p>ESMPs shall be prepared and adopted prior to commencement of relevant activities and maintained throughout the relevant activities' implementation.</p>	<p>UNICEF, WHO, UNOPS</p>
4.3	<p><b>GBV AND SEA RISKS:</b>                      Each Recipient shall prepare and implement a standalone Gender-Based Violence Action Plan (GBV), in accordance with ESS4 and relevant WB good practice notes on GBV, and in line with existing inter-agency frameworks and agreements, to assess and manage GBV risks related to the Project and prevent and respond to sexual exploitation and abuse, and sexual harassment. The revised GBV Action Plan will build on the work already accomplished through the previously developed GBV Action Plans. The plan shall include, but is not limited to, site-specific ESMPs actions to prevent and mitigate risks of sexual exploitation and abuse and sexual harassment (SEA/SH) in line with the ESMFs and enforce their implementation; development and adherence to Code of Conduct; GBV-sensitized grievance mechanisms; awareness raising of project workers and community members on GBV risks and mitigation measures.</p>	<p>The GBV action plans of the original financing and AF1 have been prepared. GBV action plans shall be updated for the AF2 and implemented throughout Project implementation. Updated GBV action plan shall be applied to the original financing, AF1 as well as the AF2.</p>	<p>UNICEF, WHO and UNOPS</p>
4.4	<p><b>SECURITY RISK MANAGEMENT</b>                      Each Recipient shall prepare and implement a security management plan (SMP) in accordance with the requirements of ESS1 and ESS4 and acceptable to the Association.</p> <p>The Recipients (UNICEF, WHO, and UNOPS) activities are governed by the United Nations Security Management System (UNSMS), which, through designated officials in collaboration with Heads of UN Offices, ensures the security of their premises and staff.</p>	<p>The SMPs of the original financing and AF1 project have been prepared and cleared. SMPs shall be updated for the AF2 prior to effectiveness and implemented throughout Project implementation. Updated SMPs shall be applied to the original project, AF1 as well as the AF2.</p>	<p>UNICEF, WHO, UNOPS</p>



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<b>ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT</b>			
5.1	<p><b>RESETTLEMENT PLANS:</b> Prepare, disclose, consult upon, adopt, and implement a Resettlement Framework (RF) acceptable to the Association which shall provide general guidelines and procedures for resettlement issues and compensation measures for the overall project, in accordance with the requirements of ESS5 and in a manner acceptable to the Association.</p> <p>Prepare, disclose, and implement sub-project-specific Resettlement Action Plans (RAPs) where the sub-project may involve land acquisition, physical and economic displacement and temporary restriction on use or access to land which can have adverse impacts on communities and persons. These restrictions on land use or access may cause temporary loss of income or livelihood.</p>	<p>The RF of the original financing and AF1 have been prepared and disclosed. The RF for the AF2 shall be updated, disclosed, consulted upon, and adopted prior to effectiveness and implemented thereafter throughout the Project implementation. Updated RF shall be applied to the original financing, AF1 as well as the AF2.</p> <p>The RAPs shall be prepared and disclosed prior to commencing implementation of respective sub-projects with resettlement impact.</p>	UNOPS
<b>ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES</b>			
6.1	Sub-projects involving significant impacts on biodiversity or living natural resources, or their habitats shall be eliminated at ESMF Screening stage.	Throughout Project implementation	UNOPS
<b>ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES</b>			
	Not relevant		
<b>ESS 8: CULTURAL HERITAGE</b>			
8.1	<p><b>CHANCE FINDS</b> Implement the chance finds procedure described in the ESMF and included in all site-specific ESMPs involving sub-projects with earthmoving activities.</p> <p><b>PHYSICAL CULTURAL RESOURCES</b> During screening, exclude any sub-projects or activities could have impact to any physical cultural resources.</p>	<p>Throughout the project implementation.</p> <p>During the screening.</p>	UNOPS
<b>ESS 9: FINANCIAL INTERMEDIARIES</b>			
	Not relevant		

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<b>ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE</b>			
10.1	<p><b>STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION:</b>                      Update, disclose, consult upon, adopt, and implement the joint Stakeholder Engagement Plan (SEP) consistent with ESS10 and in a manner acceptable to the Association.</p> <p>Conduct inclusive stakeholder engagement activities, including female stakeholders and vulnerable groups, and document the summary of consultations in sub-project-specific E&amp;S plans.</p>	<p>The joint Stakeholder Engagement Plan was updated for AF2 and will be disclosed within the shortest delays as soon as cleared by the Association; it shall be implemented throughout project implementation. Updated SEP shall apply to the parent project, AF1 and AF2.</p> <p>Sub-project-specific consultations shall be conducted prior to commencing the implementation of respective sub-projects and continued on an ongoing basis.</p>	UNICEF, WHO UNOPS

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<p>10.2 <b>PROJECT GRIEVANCE MECHANISMS:</b>                      Accessible grievance arrangements shall be maintained and remain available and functional to receive and facilitate resolution of concerns and grievances in relation to the Project, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Project-affected parties, consistent with ESS10 and in a manner acceptable to the Association. The grievance mechanism shall be equipped to receive, register, and facilitate the resolution of SEA/SH complaints, including through the referral of survivors to relevant gender-based violence service providers, all in a safe, confidential, and survivor-centered manner.</p> <ul style="list-style-type: none"> <li>• UNICEF shall deploy the call center already established for all its programmes, including the ongoing Yemen Emergency Human Capital Project (EHCP), the Emergency Social Protection Enhancement and COVID-19 Response Project/Unconditional Cash Transfer component (ESPECRP/UCT) and the Restoring Education and Learning (REAL).</li> <li>• WHO shall continue using the joint call center with UNICEF already used for EHCP.</li> <li>• UNOPS shall deploy its hotline system already established for ongoing Yemen Integrated Urban Services Emergency Project (YIUSEP).</li> <li>• These mechanisms are planned to be carried over into the EHCP, leveraging the same hotline numbers, call center structure, call center staff who are already trained in the context of the EHNP, ECT, COVID-19 and IUSEP including on social safeguards and SEA / GBV disclosure, and established MIS. Refresher training on SEA and GBV disclosure handling shall also be provided to the call center agents whenever required consistent with ESS10, in a manner acceptable to the Association.</li> </ul>	<p>GMs are operational and shall be maintained throughout Project implementation.</p>	<p>UNICEF, WHO, UNOPS</p>

CAPACITY SUPPORT (TRAINING)			
CS1	<p>Each Recipient will provide orientation/sensitization and training to targeted groups, including personnel involved in Project implementation, about relevant topics.</p> <p>Training topics include the following:</p> <ul style="list-style-type: none"> <li>• Communicable Diseases Infection Prevention and Control Recommendations;</li> <li>• Toolbox meeting on OHS issues including the use of PPEs;</li> <li>• Grievance mechanisms for workers and communities;</li> <li>• GBV, including Code of conduct to prevent GBV and SEA;</li> <li>• Occupational Health and Safety (OHS);</li> <li>• Stakeholder engagement.</li> <li>• Labor Management Procedures;</li> <li>• Community health and safety (including emergency prevention and preparedness, response arrangements to emergency).</li> </ul> <p>Target groups include the following:</p> <ul style="list-style-type: none"> <li>• Stakeholders including Contractors;</li> <li>• Support Consultants (Labor Management Procedures; OHS guidelines; community health and safety)</li> <li>• Project workers (OHS guidelines, provisions relating to LMP, GBV Risk).</li> </ul>	Throughout Project implementation.	UNICEF, WHO, UNOPS